



Internal Gap Analysis and Action Plan for the period 2016-2020

Pulawy, 1st February 2016

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List of abbreviations

| ABBREVIATION | FULL NAME |
|--------------|--|
| HR Logo | EU logo HR EXCELLENCE IN RESEARCH |
| HR Strategy | The Human Resources Strategy for Researchers (HRS4R) |
| Charter | European Charter for Researchers |
| Code | The Code of Conduct for the Recruitment of Researchers |
| DRS | Department of Research Support |
| SO | Scientific Office |
| NSC | The National Science Centre (pl: NCN) |
| NCRD | The National Centre for Research and Development (pl: NCBiR) |

1. THE INSTITUTE

1.1. Introduction

The Institute of Soil Science and Plant Cultivation (IUNG) was founded in 1950. It operates under the supervision of the Ministry of Agriculture and Rural Development and the Ministry of Science and Higher Education. A tradition of agricultural research in Pulawy, however, goes back to the year of 1862, when the Polytechnic Institute of Agriculture and Forestry was established here. IUNG is also the heir of the State Research Institute of Rural Husbandry (PINGW) that was seated in Pulawy in the years of 1917-1950.

The Institute of Soil Science and Plant Cultivation is the largest and the oldest researchdevelopment centre in Poland, conducting agricultural studies. The broad range of activities comprises crop production, soil science and fertilization, as well as recognition and protection of agricultural areas against various forms of degradation.

The Institute employs: researchers (professors and associate professors, adjuncts, and assistants), research specialists, engineering and technical specialists, administration staff, technicians, and auxiliary personnel (Figure 1).

IUNG-PIB offers stationary and extramural PhD courses, which are currently pursued by 11 doctoral students (6 in a stationary and 5 in an extramural mode). Additionally, 16 persons have opened doctoral proceedings (the employees of IUNG-PIB and other units who are not doctoral students).

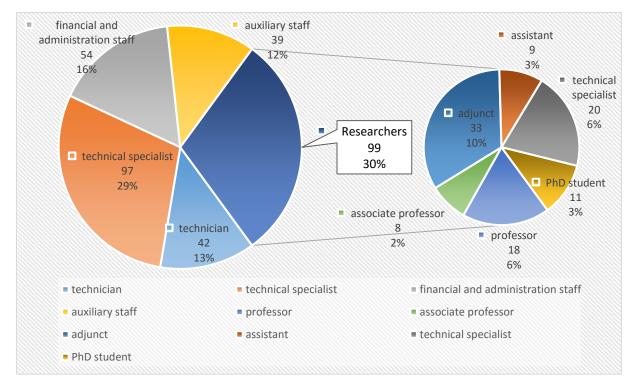


Figure 1. The structure of employment at IUNG-PIB according to position

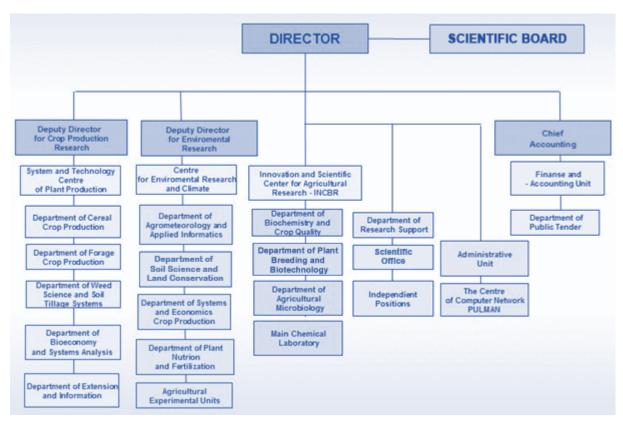


Figure 2. Institute organization chart

1.2. The context and approach

Since its foundation, the Institute has put an emphasis on international cooperation, which greatly facilitates the exchange of knowledge and experience and the development of science. In the recent years, changes in the structure of employment and international exchange of employees can be largely attributed to EU projects: *"Protection of Land and Water Quality and Sustainable Development of Rural Areas"* (Proland) ans *Strengthen IUNG's proficiency on "Managing the Production of Food and Feedstuff, their Safety and Quality under Global Climate Change"* (Proficiency) coordinated by IUNG-PIB. Since June 2015, the Institute has been coordinating the project of "New Strategies on Bio-Economy in Poland" (BioEcon), under which IUNG-PIB will hire new employees, mainly from EU countries and associates. The "HR Excellence for Research" logo will constitute a huge incentive for new scientists seeking stable employment. Developing HR Strategy will allow for taking measures to improve the conditions of employment of scientists, in accordance with the provisions of the Charter and the Code.

2. METHODOLOGY

The first step related to the preparation of the HR Strategy for IUNG HR-PIB was to send a letter of intent to the European Commission on 27 July 2015, in which the Directorate of IUNG-PIB expressed support for the provisions of the Charter and Code.

The next step was the decision of the Directorate of IUNG-PIB to apply for the HR logo and to assemble a three-person working group, which included a lawyer of IUNG-PIB, head of the Scientific Secretary, and a researcher trained in the HR. In December 2015, a survey was conducted among the employees running research activity. The working group for HR Strategy used an internal analysis and the results of the survey to take decisions aimed at removing any inconsistencies that existed at IUNG-PIB in respect to the provisions of the Charter and Code. After developing the HR strategy with a plan of corrective actions, the documents were sent to the EC in accordance with the procedure for business unit applying for the HR logo.

2.1. Internal Gap Analysis

After sending a letter of intent, in which the authorities of IUNG-PIB expressed their support for the provisions of the Charter and the Code, activities aimed at obtaining the HR logo were undertaken. Since October 2015, an informal group formed by the Director of IUNG-PIB conducted an internal analysis of the current state of the legal status of IUNG-PIB and in Poland and their consistency with the Charter and the code. The internal analysis was performed in accordance with the *"Template for internal analysis"* placed on the EURAXESS website.

The document of the internal analysis has been made available to the Directorate of IUNG-PIB.

2.2. The survey for researchers

The next step in preparing the HR Strategy was to make a survey among researchers, research specialists, doctoral students, and other staff members running scientific research. The survey included 56 respondents, but 55 questionnaire forms were as 1 form was rejected for not fulfilling the criteria of the survey. The survey was conducted in a partially open manner due to the need to verify the questionnaire forms. The data in the survey included an e-mail address, but It was available only for the person collecting the data, and not to persons analyzing the survey. Data collection by a server located outside the Institute (the Google Forms) allowed for the verification of whether more than one questionnaire was not sent by a respondent and whether it was filled by an authorized employee. It also made it possible to return to completing the survey in case of problems with editing.

The questionnaire forms were drafted in Polish, due to the fact that all the persons authorized to participate in the survey knew the Polish language in a sufficient degree to understand the questions. 40 survey questions were based on the provisions of the Charter and the Code. Each point of the survey required assessing the state of the implementation of a particular issue on a scale from 1 to 5, where 1 – very bad, 5 – very good.

In addition, each questionnaire point included a text box in which the respondents (voluntarily) could enter their suggestions and proposed actions for improving the state of the given issue at IUNG-PIB.

The survey results were evaluated according to the following criteria for the evaluation of issues in the Charter and the Code:

| poor | There are discrepancies between the state of the given issues in IUNG-PIB and the provisions of the Charter and the Code, remedial actions recommended | > 35% of 3,2,1 points |
|------------------------|--|--|
| average/ acceptable | An acceptable situation, actions recommended depending on the possibility | 20-35% of 3,2,1 points |
| good | Implementation of the provisions of the Charter and Code evaluated as good | > 80% questionnaires with 5 or 4 points |

Text fields, the fulfillment of which was optional provided an additional valuable information in the survey. A significant part of the comments of the employees who filled those text fields were the basis to take up additional measures.

3. SURVEY RESULTUS

3.1. General information about the test group

Among the 55 persons who took part in the survey, 47% were women (Figure 3). 1/3 of the surveyed employees were persons aged 31-40 years, while the smallest groups, 12.7% each, were the oldest (>60 years) and the youngest (=< 30 years) employees (Figure 4).

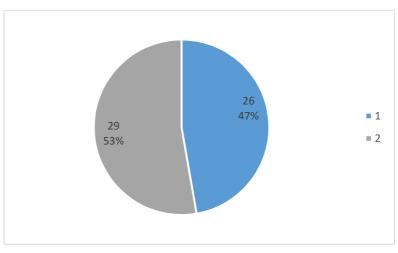


Figure 3. Gender of respondents (1-woman, 2- man)

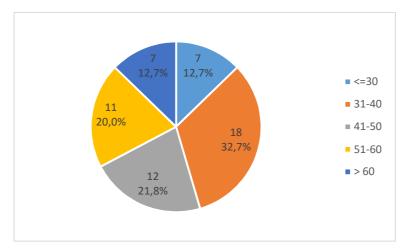


Figure 4. Age of respondents

Doctoral students and research specialists showed the highest activity among the studied groups – 70% of the employees within each group took part in the survey (Figure 5).

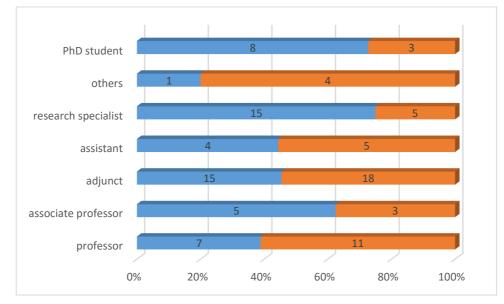


Figure 5. Respondents' professional profile

According to the criteria assumed, 2 issues were evaluated positively, 18 - averagely, while 19 – negatively (Figure 6, Table 1).

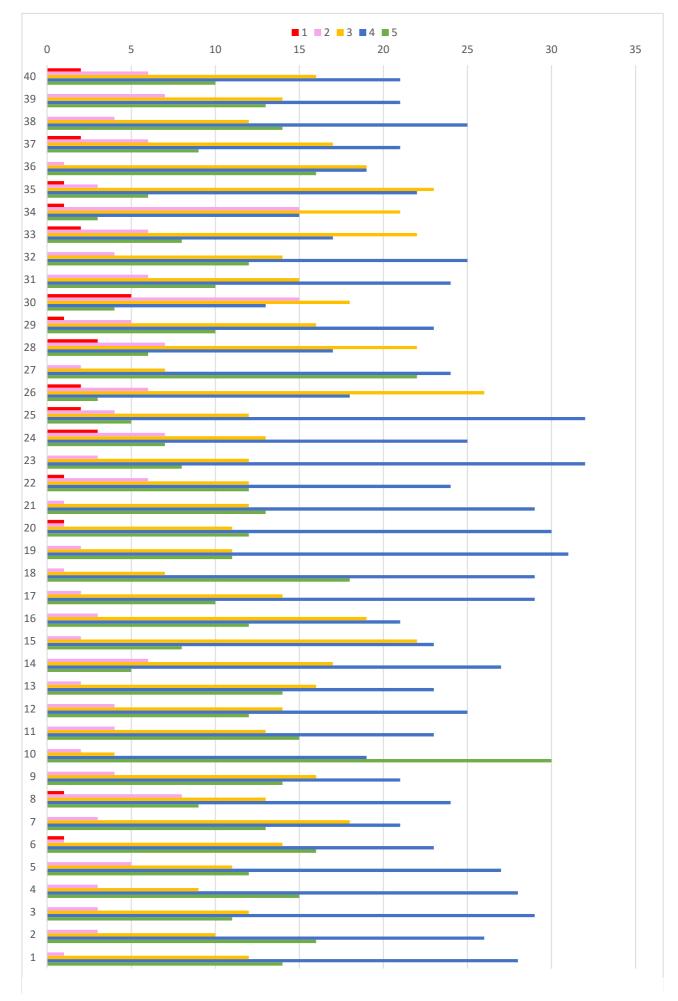


Figure 6. Total ratings for questions concerning the principles of the Charter and Code 1-40: 1- v. bad, 2-poor, 3-acceptable, 4-good, 5- v. good,

Evaluation Charter & Code Principle ٢ 1. RESEARCH FREEDOM ۲ 2. ETHICAL PRINCIPLES ٢ 3. PROFESSIONAL RESPONSIBILITY ٢ 4. PROFESSIONAL ATTITUDE ٢ 5. CONTRACTUAL AND LEGAL OBLIGATIONS ٢ 6. ACCOUNTABILITY \otimes 7. GOOD PRACTICE IN RESEARCH 8 8. DISSEMINATION, EXPLOITATION OF RESULTS 8 9. PUBLIC ENGAGEMENT \odot **10. NON DISCRIMINATION** ٢ 11. EVALUATION/ APPRAISAL SYSTEMS ٢ 12. RECRUITMENT ٢ 13. RECRUITMENT (CODE) \mathfrak{S} 14. SELECTION (CODE) 8 15. TRANSPARENCY (CODE) 8 16. JUDGING MERIT (CODE) ٢ 17. VARIATIONS IN THE CHRONOLOGICAL ORDER OF CVs (CODE) \odot 18. RECOGNITION OF MOBILITY EXPERIENCE (CODE) ٢ 19. RECOGNITION OF QUALIFICATIONS (CODE) ٢ 20. SENIORITY (CODE) \odot 21. POSTDOCTORAL APPOINTMENTS (CODE) ٢ 22. RECOGNITION OF THE PROFESSION \odot 23. RESEARCH ENVIRONMENT \otimes 24. WORKING CONDITIONS ۲ 25. STABILITY AND PERMANENCE OF EMPLOYMENT \otimes 26. FUNDING AND SALARIES \odot 27. GENDER BALANCE 8 28. CAREER DEVELOPMENT \otimes 29. VALUE OF MOBILITY 8 30. ACCESS TO CAREER ADVICE 8 **31. INTELLECTUAL PROPERTY RIGHTS**

Table 1. The results of the evaluation issues of the Charter and Code, based on a survey

| • | 32. CO-AUTHORSHIP |
|---|--|
| 8 | 33. TEACHING |
| 8 | 34. COMPLAINS/ APPEALS |
| 8 | 35. PARTICIPATION IN DECISION-MAKING BODIES |
| 8 | 36. RELATION WITH SUPERVISORS |
| 8 | 37. SUPERVISION AND MANAGERIAL DUTIES |
| ۲ | 38. CONTINUING PROFESSIONAL DEVELOPMENT |
| 8 | 39. ACCESS TO RESEARCH TRAINING AND CONTINUOUS DEVELOPMENT |
| 8 | 40. SUPERVISION |

Explanation: The principle at S - good, S - acceptable / average, S-poor .

4. THE CURRENT STATE AND CONCLUSIONS OF INTERNAL ANALYSIS AND SURVEYS

The conclusions from both the internal analysis and survey results will be presented as compared to the provisions of the Charter and the Code. Most of the provisions of the Charter and the Code include remedial actions or additional information explaining the limitations of IUNG-PIB in implementing them.

| 1. RESEARCH FREEDOM | 1. RESEARCH FREEDOM | | |
|--|--|--|--|
| Researchers should focus their research for the good of mankind and for expanding the frontiers of | | | |
| - | of thought and expression, and the freedom to | | |
| | , according to recognised ethical principles and | | |
| | the limitations to this freedom that could arise as | | |
| | (including supervision/guidance/management) or | | |
| | astructural reasons or, especially in the industrial | | |
| | otection. Such limitations should not, however, | | |
| contravene recognised ethical principles and practices, to which researchers have to adhere. | | | |
| | Existing Institutional rules and/or practices | | |
| implementation of this principle) | ,, | | |
| EU and domestic regulations GMO, i.e. the | Lack of relevant internal regulations. Domestic | | |
| Common Catalogue of Varieties of Agricultural | and international regulations apply. | | |
| Plant Species (CCA) | | | |
| Survey assessment : average Remarks: none | | | |
| Actions required: | | | |
| (T1) Development of "Ethical and organizational rules of conducting research at IUNG-PIB" | | | |
| When: 9th month Who: Scientific Board | | | |
| 2. ETHICAL PRINCIPLES | | | |
| Researchers should adhere to the recognised ethical practices and fundamental ethical principles | | | |
| appropriate to their discipline(s) as well as to ethical standards as documented in the different | | | |
| national, sectoral or institutional Codes of Ethics. | | | |

| The European Code of Conduct for Research Integrity 2011 (<i>The European Science Foundation and All European Academies</i>) The Code of Ethics for researchers (2012, P. made available to doctoral students. Actions required: (T1) Development of "Ethical and organizational rules of conducting research at IUNG-PIB" Survey assessment : average Remarks: Additionally, compliance with the ethical rules will be supervised by a disciplina prosecutor, appointed by the Scientific Board (34). When: 9th month Who: Scientific Board 3. PROFESSIONAL RESPONSIBILITY Researchers should make every effort to ensure that their research is relevant to society and ont duplicate research previously carried out elsewhere. They must avoid plagiarism of any kind abide by the principle of intellectual property and joint data ownership in the case of rese carried out in collaboration with a supervisor(s) and/or other researchers. The need to validate observations by showing that experiments are reproducible should not be interpreted as plagiar provided that the data to be confirmed are explicitly quoted. Researchers should ensure, if aspect of their work is delegated, that the person to whom it is delegated has the competenc carry it out. Relevant legislation (permitting or impeding the inplementation of this principle) Existing Institutional rules and/or practices implementation of PhD theses, monographies in anti-plagiarism system. Survey assessment : average Remarks: none Who: Head of SO 4. 4. PROFESSIONAL ATTITUDE Researchers should be familiar with the strategic goals governing their research environment fundi | Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices | |
|--|---|--|--|
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| accessing the resources provided. They should inform their employers, funders or supervisor we their research project is delayed, redefined or completed, or give notice if it is to be terminate earlier or suspended for whatever reason. Relevant legislation (permitting or impeding the implementation of this principle) Act of 15 January 2015 on changes to the act on rules of science financing and some other acts, Journal of Laws 2015 item 249 Regulations for the division of financial means to young researchers for conduct research. Competition procedure for implementation of research within statu activity; rules of settlement of research within statu activity; rules of settlement of research young young research young y | • | o o o | |
| their research project is delayed, redefined or completed, or give notice if it is to be terminate earlier or suspended for whatever reason. Relevant legislation (permitting or impeding the implementation of this principle) Act of 15 January 2015 on changes to the act on rules of science financing and some other acts, Journal of Laws 2015 item 249 Regulations for the division of financial means of the science for implementation of research. Competition procedure for implementation of research within statu activity; rules of settlement of research subjects and reception of statutory subjects | - | | |
| earlier or suspended for whatever reason.Relevant legislation (permitting or impeding the implementation of this principle)Existing Institutional rules and/or practicesAct of 15 January 2015 on changes to the act on rules of science financing and some other acts, Journal of Laws 2015 item 249• Regulations for the division of financial me granted to young researchers for conduct research.• Competition procedure for implementation of research within statu activity; rules of settlement of rese subjects and reception of statutory subject | | | |
| Relevant legislation (permitting or impeding the implementation of this principle)Existing Institutional rules and/or practicesAct of 15 January 2015 on changes to the act on rules of science financing and some other acts, Journal of Laws 2015 item 249• Regulations for the division of financial me granted to young researchers for conduct research.• Competition implementation of research within statu activity; rules of settlement of rese subjects and reception of statutory subject | | | |
| implementation of this principle) Act of 15 January 2015 on changes to the act on rules of science financing and some other acts, Journal of Laws 2015 item 249 Competition procedure for implementation of research within statu activity; rules of settlement of research subjects and reception of statutory subject | | Existing Institutional rules and/or practices | |
| Act of 15 January 2015 on changes to the act on rules of science financing and some other acts, Journal of Laws 2015 item 249 Competition procedure for implementation of research within statu activity; rules of settlement of research subjects and reception of statutory subject | implementation of this principle) | | |
| rules of science financing and some other acts, Journal of Laws 2015 item 249 Competition procedure for implementation of research within statu activity; rules of settlement of research subjects and reception of statutory subject | | Regulations for the division of financial means | |
| Journal of Laws 2015 item 249 • Competition procedure for implementation of research within statu activity; rules of settlement of rese subjects and reception of statutory subject | | - | |
| Competition procedure for implementation of research within statu activity; rules of settlement of rese subjects and reception of statutory subject | Journal of Laws 2015 item 249 | | |
| Actions required: | | | |
| | Actions required: | | |

IUNG-PIB

| Survey assessment : average | Remarks: none |
|-----------------------------|------------------|
| When: 3rd month | Who: Head of DRS |

5. CONTRACTUAL AND LEGAL OBLIGATIONS

Researchers at all levels must be familiar with the national, sectoral or institutional regulations governing training and/or working conditions. This includes Intellectual Property Rights regulations, and the requirements and conditions of any sponsor or funders, independently of the nature of their contract. Researchers should adhere to such regulations by delivering the required results (e.g. thesis, publications, patents, reports, new products development, etc) as set out in the terms and conditions of the contract or equivalent document.

| Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices |
|---|---|
| Act of 4 February 1994 on copyright and related rights (i.e. Journal of Laws of 2006, No.90, item 631, with further amendments. | The obligation to check and accept the contracts concluded by the Institute by the lawyer of IUNG-PIB |

Actions required:

(T4) Training for staff on intellectual property rights, their types, and the possibility of their transfer and commercialization (conducted by a lawyer).

| Survey assessment : average | Remarks: none |
|-----------------------------|----------------------------------|
| When: 12th month | Who: The Directorate of IUNG-PIB |
| | |

6. ACCOUNTABILITY

Researchers need to be aware that they are accountable towards their employers, funders or other related public or private bodies as well as, on more ethical grounds, towards society as a whole. In particular, researchers funded by public funds are also accountable for the efficient use of taxpayers' money. Consequently, they should adhere to the principles of sound, transparent and efficient financial management and cooperate with any authorised audits of their research, whether undertaken by their employers/funders or by ethics committees.

Methods of collection and analysis, the outputs and, where applicable, details of the data should be open to internal and external scrutiny, whenever necessary and as requested by the appropriate authorities.

| Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices | | |
|---|--|--|--|
| The Act on Liability for Breaching the Public Finance Discipline; The Labour Code; Provisions of funders, i.e. NSC, EU on project auditing and a potential ban on application in case of committing a breach of financial discipline. | Regulations for awarding public contracts in IUNG-PIB. | | |
| Actions required: | | | |
| (T5) Establishment of an electronic document repository. | | | |
| Survey assessment : average | Remarks: none | | |
| When: 15th month | Who: Chief Accountant | | |

7. GOOD PRACTICE IN RESEARCH

Researchers should at all times adopt safe working practices, in line with national legislation, including taking the necessary precautions for health and safety and for recovery from information technology disasters, e.g. by preparing proper back-up strategies. They should also be familiar with the current national legal requirements regarding data protection and confidentiality protection requirements, and undertake the necessary steps to fulfil them at all times.

| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices |
|--|---|
| implementation of this principle) | |

| Labour Law (Labour Code), Regulation on occupational safety and health. The Act of 29 August 1997 on the protection of personal data (Journal of Laws of 1997, No. 133, item 883). The Act of 5 August 2010 on the protection of classified information (Journal of Laws of 2010 No. 182, item 1228). Actions required: (T6) Development of an internal database of project description). (T7) Regulations for making backup copies, confide | | |
|--|--|--|
| Survey assessment : poor | Remarks: none | |
| When: 15th month | Who: Head of DRS (T6), Head of PULMAN (T7) | |
| 8. DISSEMINATION, EXPLOITATION OF RESULTS All researchers should ensure, in compliance with their contractual arrangements, that the results of their research are disseminated and exploited, e.g. communicated, transferred into other research settings or, if appropriate, commercialised. Senior researchers, in particular, are expected to take a lead in ensuring that research is fruitful and that results are either exploited commercially or made | | |
| accessible to the public (or both) whenever the op Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices | |
| implementation of this principle) | Land and a second rules and or proceeds | |
| The Act of 30 April 2010 on science funding (Journal of Laws of 2010, No.96, item 615) Actions required: | Regulations for the management of copyright and related rights, industrial property rights and the commercialization of the results of research and development works. Regulations No. 002/20/2011 on the award of the Director of IUNG-PIB. Cooperation with schools. Participation of IUNG employees in the Science Festival in Lublin, Poland. | |
| (T8) Hiring an innovation broker within the project | | |
| Survey assessment : poor | Remarks: none | |
| When: 39th monthWho: the Directorate of IUNG-PIB9. PUBLIC ENGAGEMENTResearchers should ensure that their research activities are made known to society at large in such a way that they can be understood by non-specialists, thereby improving the public's understanding of science. Direct engagement with the public will help researchers to better understand public interest in priorities for science and technology and also the public's concerns. | | |
| Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices | |
| The Act on Research Institutes – art. 2 point 2 | Scientific workshops (within the framework of the multiannual programme), conferences, and trainings run by the Institute within the framework of statutory activity and the projects and contracts with the Ministry of Agriculture and Rural Development. Participation in "Science Festivals" and fairs. Organization of Open Days. Cooperation with agricultural schools. | |

| Actions required: | Cooperation with Agricultural Advisory Centers-an important part of the knowledge transfer to agriculture. Provision of IT agricultural advisory systems, applications for agricultural practice, i.e. NawSald, MacroBil, Plano RSN; on-line advisory programs – calculators and others. 'Science-farming practice' site on the website of the Institute with subsequently updated information and recommendations intended for agricultural practice: http://duw.iung.pulawy.pl/. | |
|---|--|--|
| (T9) Development of public relations strategy for I | UNG-PIB. | |
| Survey assessment : poor | Remarks: Methods of implementation will depend on funds acquired for the promotion of IUNG-PIB. | |
| When: 18th month | Who: The Directorate of IUNG-PIB | |
| 10. NON DISCRIMINATION Employers and/or funders of researchers will not discriminate against researchers in any way on the basis of gender, age, ethnic, national or social origin, religion or belief, sexual orientation, language, disability, political opinion, social or economic condition. | | |
| Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices | |
| The Labour Code (art. 18 ^{3a} -18 ^{3e}), The obligation to counteract mobbing, art. 94 | None | |
| Actions required: (T10) The introduction of anti-discrimination proce | | |
| Survey assessment : good | Remarks: none | |
| When: 18th month | Who: the lawyer of IUNG-PIB | |
| 11. EVALUATION/ APPRAISAL SYSTEMS Employers and/or funders should introduce for all researchers, including senior researchers, evaluation/appraisal systems for assessing their professional performance on a regular basis and in a transparent manner by an independent (and, in the case of senior researchers, preferably international) committee. | | |
| Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices | |
| The Act of 30 April 2010 on research institutes (Journal of Laws of 2015 item 1095) – art. 44 paragraph 3 | Regulations for the evaluation of researchers and research specialists employed at IUNG- PIB; Annual evaluation of the employees. | |
| Actions required: (T11) Changes in the evaluation of researchers and research specialists according to the legislative changes, in cooperation with the employees. | | |
| Survey assessment : average | Remarks: none | |
| When: 18th month | Who: Head of SO | |
| 12. RECRUITMENT Employers and/or funders should ensure that the entry and admission standards for researchers, particularly at the beginning at their careers, are clearly specified and should also facilitate access for disadvantaged groups or for researchers returning to a research career, including teachers (of any level) returning to a research career. Employers and/or funders of researchers should adhere to the | | |

| | e Recruitment of Researchers when appointing or | |
|---|---|--|
| recruiting researchers. Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices | |
| The Act of 30 April 2010 on research institutes (Journal of Laws of 2015 item 1095) – art. 44 paragraph 3 | The Statute of the Institute; Competition procedure; Director's regulations as regards the competition. | |
| Actions required: (T12) Development of procedures for proper conduct in the Institute, including good habits and practices in mutual contact, indication of the behaviors that are considered by the employer as objectionable and specification of how to respond to the cases of psychological harassment or discrimination. | | |
| Survey assessment : average | Remarks: none | |
| When: 9th month | Who: the lawyer of IUNG-PIB | |
| 13. RECRUITMENT (CODE) Employers and/or funders should establish recruitment procedures which are open, efficient, transparent, supportive and internationally comparable, as well as tailored to the type of positions advertised. Advertisements should give a broad description of knowledge and competencies required, and should not be so specialised as to discourage suitable applicants. Employers should include a description of the working conditions and entitlements, including career development prospects. Moreover, the time allowed between the advertisement of the vacancy or the call for applications and the deadline for reply should be realistic. | | |
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices | |
| implementation of this principle) | | |
| The Act of 30 April 2010 on research institutes (Journal of Laws of 2015 item 1095) – art. 44 paragraph 3. | The Statute of the Institute; Competition procedure; Director's regulations as regards the competition. | |
| Actions required: (T13) Placing professional development perspectiv | es in the competition announcements | |
| Survey assessment : average | Remarks: none | |
| When: 3th month | Who: the lawyer of IUNG-PIB | |
| 14. SELECTION (CODE) | | |
| Selection committees should bring together diverse expertise and competences and should have an adequate gender balance and, where appropriate and feasible, include members from different sectors (public and private) and disciplines, including from other countries and with relevant experience to assess the candidate. Whenever possible, a wide range of selection practices should be used, such as external expert assessment and face-to-face interviews. Members of selection panels should be realistic. | | |
| Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices | |
| The Act of 30 April 2010 on research institutes (Journal of Laws of 2015 item 1095) – art. 44 paragraph 3. | The Statute of the Institute; Competition procedure; Director's regulations as regards the competition. | |
| Actions required: (T14) Adjusting the Regulations of the Competition Committee to the requirements of the Charter and the Code. | | |
| Survey assessment : poor | Remarks: none | |
| When: 18th month | Who: the lawyer of IUNG-PIB | |
| | · · | |

15. TRANSPARENCY (CODE)

Candidates should be informed, prior to the selection, about the recruitment process and the selection criteria, the number of available positions and the career development prospects. They should also be informed after the selection process about the strengths and weaknesses of their applications.

| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices |
|---|---|
| implementation of this principle) | |
| The Act of 30 April 2010 on research institutes (Journal of Laws of 2015 item 1095) – art. 44 paragraph 3 | 1 1 |

Actions required:

(T15) Updating of the Regulations of the Competition Committee. *"The guidance for personal and professional development for researchers"* in the Intranet network and as an attachment to the job announcement. Strong and weak points of the candidates taking part in competitions.

| Survey assessment: bad | Remarks: none |
|------------------------|-----------------------------|
| When: 6th month | Who: the lawyer of IUNG-PIB |
| | |

16. JUDGING MERIT (CODE)

The selection process should take into consideration the whole range of experience of the candidates. While focusing on their overall potential as researchers, their creativity and level of independence should also be considered. This means that merit should be judged qualitatively as well as quantitatively, focusing on outstanding results within a diversified career path and not only on the number of publications. Consequently, the importance of bibliometric indices should be properly balanced within a wider range of evaluation criteria, such as teaching, supervision, teamwork, knowledge transfer, management of research and innovation and public awareness activities. For candidates from an industrial background, particular attention should be paid to any contributions to patents, development or inventions.

| Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices |
|--|---|
| The Act of 30 April 2010 on research institutes | • The Statute of the Institute, |
| (Journal of Laws of 2015 item 1095) – art. 44 | Competition procedure, |
| paragraph 3 | • Director's regulations as regards the |
| | competition. |

Actions required:

(T16) Sharing *"The guidance for personal and professional development for researchers"* in the Intranet network and as an attachment to the job announcement.

| Survey assessment: poor | Remarks: none |
|-------------------------|-----------------------------|
| When: 6th month | Who: the lawyer of IUNG-PIB |
| | |

17. VARIATIONS IN THE CHRONOLOGICAL ORDER OF CVs (CODE)

Career breaks or variations in the chronological order of CVs should not be penalised, but regarded as an evolution of a career, and consequently, as a potentially valuable contribution to the professional development of researchers towards a multidimensional career track. Candidates should therefore be allowed to submit evidence-based CVs, reflecting a representative array of achievements and qualifications appropriate to the post for which application is being made

| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices |
|--|---|
| implementation of this principle) | |
| The Act of 30 April 2010 on research institutes | The Statute of the Institute, |
| (Journal of Laws of 2015 item 1095) - art. 44 | Competition procedure, |
| paragraph 3 | • Director's regulations as regards the |
| | competition. |
| Actions required: | |

| None | |
|---|---|
| Survey assessment: average | Remarks: none |
| When: does not apply | Who: does not apply |
| 18. RECOGNITION OF MOBILITY EXPERIENCE (COL | |
| | untry/region or in another research setting (public |
| | sector to another, whether as part of the initial |
| | h career, or virtual mobility experience, should be |
| considered as a valuable contribution to the profes | |
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices |
| implementation of this principle) | Existing institutional rules and/or practices |
| The Act of 30 April 2010 on research institutes | The Statute of the Institute, |
| (Journal of Laws of 2015 item 1095) – art. 44 | Competition procedure, |
| paragraph 3 | Director's regulations as regards the |
| | competition. |
| Actions required: None | competition. |
| Actions required. None | |
| Survey assessment: good | Remarks: none |
| When: does not apply | Who: does not apply |
| 19. RECOGNITION OF QUALIFICATIONS (CODE) | |
| | appropriate assessment and evaluation of the |
| | |
| • • • | ng non-formal qualifications, of all researchers, in |
| | and professional mobility. They should inform |
| | rules, procedures and standards governing the |
| | tly, explore existing national law, conventions and |
| specific rules on the recognition of these qualificat | ions through all available channels. |
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices |
| implementation of this principle) | |
| • Convention on the Recognition of Studies, | None. Statutory regulations apply. |
| Diplomas and Degrees concerning Higher | |
| Education in the States belonging to the | |
| Europe Region, drafted in Paris on 21 | |
| December 1979 (Journal of Laws 1983, No. 7, | |
| item 38); | |
| • European Convention on the Academic | |
| Recognition of University. Qualifications of 14 | |
| December 1959 (Journal of Laws 1995, No. | |
| 40, item 204); | |
| | |
| • Act on the Education System of 7 September | |
| 1991 (Journal of Laws No. 95, item 425), the | |
| uniform text of 16 May 1996 (Journal of Laws | |
| no. 67, item 329); | |
| • Act on Scientific Degrees and Scientific Title | |
| and Degrees and Title in the scope of Arts of | |
| 14 March 2003 (Journal of Laws no. 65, item | |
| 595) the uniform text of 2 December 2014 | |
| (Journal of Laws 2014 item 1852) | |
| Actions required: None | |
| | |
| Survey assessment: average | Remarks: none |
| When: does not apply 20. SENIORITY (CODE) | Who: does not apply |
| | |

The levels of qualifications required should be in line with the needs of the position and not be set as a barrier to entry. Recognition and evaluation of qualifications should focus on judging the achievements of the person rather than his/her circumstances or the reputation of the institution where the qualifications were gained. As professional qualifications may be gained at an early stage of a long career, the pattern of lifelong professional development should also be recognised.

| Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices |
|---|--|
| The Act of 30 April 2010 on research institutes (Journal of Laws of 2015 item 1095) – art. 44 paragraph 3 | The Statute of the Institute; Regulation on the principles of employing researchers and research specialists at IUNG-PIB; Director's regulations as regards the competition. |
| Actions required: None | |
| Survey assessment: average | Remarks: none |

| Survey assessment: average | Remarks: none |
|----------------------------|---------------------|
| When: does not apply | Who: does not apply |
| | |

21. POSTDOCTORAL APPOINTMENTS (CODE)

Clear rules and explicit guidelines for the recruitment and appointment of postdoctoral researchers, including the maximum duration and the objectives of such appointments, should be established by the institutions appointing postdoctoral researchers. Such guidelines should take into account time spent in prior postdoctoral appointments at other institutions and take into consideration that the postdoctoral status should be transitional, with the primary purpose of providing additional professional development opportunities for a research career in the context of long-term career prospects.

| paragraph 3 researchers and research specialists at IUNG- PIB; | Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices |
|---|--|---|
| | (Journal of Laws of 2015 item 1095) – art. 44 | Regulation on the principles of employing researchers and research specialists at IUNG- PIB; Director's regulations as regards the |

Actions required: None

| None | |
|----------------------------|---------------------|
| Survey assessment: average | Remarks: none |
| When: does not apply | Who: does not apply |

22. RECOGNITION OF THE PROFESSION

All researchers engaged in a research career should be recognized as professionals and be treated accordingly. This should commence at the beginning of their careers, namely at postgraduate level, and should include all levels, regardless of their classification at national level (e.g. employee, postgraduate student, doctoral candidate, postdoctoral fellow, civil servants).

| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices |
|--|---|
| implementation of this principle) | |
| The Labour Code; | • The Statute of the Institute; |
| The Act of 30 April 2010 on research institutes (Journal of Laws of 2015 item 1095) – art. 44 paragraph 3. | Regulation on the principles of employing researchers and research specialists at IUNG-PIB; Director's regulations as regards the competition. |
| Actions required: | · · · · · · |

| Survey assessment: average | Remarks: none | |
|--|--|--|
| When: does not apply | Who: does not apply | |
| 23. RESEARCH ENVIRONMENT | | |
| Employers and/or funders of researchers should | d ensure that the most stimulating research or | |
| research training environment is created which | - | |
| opportunities, including for remote collaboration | | |
| sectoral regulations concerning health and safety | | |
| that adequate resources are provided in support of the agreed work programme. | | |
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices | |
| implementation of this principle) | | |
| Labour Law and Polish Health and Safety | Collective Labour Agreement | |
| regulations | | |
| Actions required: None | | |
| | | |
| Survey assessment: average | Remarks: none | |
| When: does not apply | Who: does not apply | |
| 24. WORKING CONDITIONS | | |
| Employers and/or funders should ensure that the | | |
| disabled researchers, provide where appropriate | | |
| research performance in accordance with existing | - | |
| collective-bargaining agreements. They should ain | | |
| women and men researchers to combine family a | | |
| should be paid, inter alia, to flexible working hour | | |
| leave, as well as to the necessary financial | and administrative provisions governing such | |
| arrangements. | | |
| Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices | |
| Labour Law and Polish Health and Safety | Collective Labour Agreement | |
| regulations | | |
| Actions required: None | | |
| Survey assessment: poor | Remarks: Lack of adequate legislation to | |
| | introduce flexible working time, which is | |
| | expected by the employees. | |
| | Requirements related to the settlement of | |
| | projects. | |
| When: does not apply | Who: does not apply | |
| | | |
| 25. STABILITY AND PERMANENCE OF EMPLOYMEN | IT | |
| Employers and/or funders should ensure that the | performance of researchers is not undermined by | |
| Employers and/or funders should ensure that the instability of employment contracts, and should t | performance of researchers is not undermined by herefore commit themselves as far as possible to | |
| Employers and/or funders should ensure that the instability of employment contracts, and should t improving the stability of employment conditions the stability of employment | performance of researchers is not undermined by herefore commit themselves as far as possible to for researchers, thus implementing and abiding by | |
| Employers and/or funders should ensure that the instability of employment contracts, and should t | performance of researchers is not undermined by herefore commit themselves as far as possible to for researchers, thus implementing and abiding by <i>ive on Fixed-Term Work</i> . | |
| Employers and/or funders should ensure that the instability of employment contracts, and should t improving the stability of employment conditions the principles and terms laid down in the EU Direct Relevant legislation (permitting or impeding the | performance of researchers is not undermined by herefore commit themselves as far as possible to for researchers, thus implementing and abiding by | |
| Employers and/or funders should ensure that the instability of employment contracts, and should t improving the stability of employment conditions the principles and terms laid down in the EU Direct Relevant legislation (permitting or impeding the implementation of this principle) | performance of researchers is not undermined by herefore commit themselves as far as possible to for researchers, thus implementing and abiding by <i>ive on Fixed-Term Work</i> . Existing Institutional rules and/or practices | |
| Employers and/or funders should ensure that the instability of employment contracts, and should t improving the stability of employment conditions of the principles and terms laid down in the EU Direct Relevant legislation (permitting or impeding the implementation of this principle) • Labour Law; | performance of researchers is not undermined by herefore commit themselves as far as possible to for researchers, thus implementing and abiding by <i>ive on Fixed-Term Work</i> . Existing Institutional rules and/or practices • Collective Labour Agreement; | |
| Employers and/or funders should ensure that the instability of employment contracts, and should t improving the stability of employment conditions the principles and terms laid down in the EU Direct Relevant legislation (permitting or impeding the implementation of this principle) Labour Law; The Act on Research Institutes of 30 April | performance of researchers is not undermined by herefore commit themselves as far as possible to for researchers, thus implementing and abiding by <i>ive on Fixed-Term Work</i> . Existing Institutional rules and/or practices | |
| Employers and/or funders should ensure that the instability of employment contracts, and should t improving the stability of employment conditions the principles and terms laid down in the EU Direct Relevant legislation (permitting or impeding the implementation of this principle) Labour Law; The Act on Research Institutes of 30 April 2010 (Journal of Laws 2015 item 1095) - art. | performance of researchers is not undermined by herefore commit themselves as far as possible to for researchers, thus implementing and abiding by <i>ive on Fixed-Term Work</i> . Existing Institutional rules and/or practices • Collective Labour Agreement; | |
| Employers and/or funders should ensure that the instability of employment contracts, and should t improving the stability of employment conditions the principles and terms laid down in the EU Direct Relevant legislation (permitting or impeding the implementation of this principle) Labour Law; The Act on Research Institutes of 30 April | performance of researchers is not undermined by herefore commit themselves as far as possible to for researchers, thus implementing and abiding by <i>ive on Fixed-Term Work</i>. Existing Institutional rules and/or practices Collective Labour Agreement; | |

| Scientific Board and trade union organization. | |
|---|---|
| Survey assessment: average | Remarks: none |
| When: 12th month | Who: Director |
| 26. FUNDING AND SALARIES Employers and/or funders of researchers should | |
| (including sickness and parental benefits, pension | or sectoral collective bargaining agreements. This uding early-stage researchers, commensurate with |
| Relevant legislation (permitting or impeding the implementation of this principle) | Existing Institutional rules and/or practices |
| Labour Law The Act on Research Institutes of 30 April 2010 (Journal of Laws 2015 item 1095) | Collective Labour Agreement Guidelines of NSC or scientific projects |
| Actions required: (T18) Introduction of the system of researcher rem as indicated in the annual employee evaluation sur | |
| Survey assessment: poor | Remarks: The proposed system should promote outstanding employees and impose sanctions against workers who do not meet expectations. The system of premiums resulting from activity in acquiring funds for research also needs to be improved. |
| When: 12 th month | Who: Director |
| | his should be achieved on the basis of an equal bsequent career stages without, however, taking eria. To ensure equal treatment, selection and |
| implementation of this principle) | |
| None | Women constitute 48% of research and research specialist staff; 41 women/ 85 researchers and research specialists (as at 24 November 2015) |
| Actions required: (T19) Women included in each recruitment commit | ttee, maintaining a gender balance. ¹ . |
| Survey assessment: good | Remarks: none |
| When: 6th month | Who: Director during the recruitment for vacancies |
| human resources management, a specific career of | draw up, preferably within the framework of their development strategy for researchers at all stages ituation, including for researchers on fixed-term |

¹ According to the opinion of the European Economic and Social Committee on Women in Science (of 15 October 2014), each recruitment committee should include women. The committees should also keep gender balance. This may encourage women to apply for the jobs and contribute to their more frequent recruitment.

| • | tors involved in providing support and guidance for | | |
|---|---|--|--|
| the personal and professional development of researchers, thus motivating them and contributing to | | | |
| reducing any insecurity in their professional future. All researchers should be made familiar with such | | | |
| provisions and arrangements. | | | |
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices | | |
| implementation of this principle) | Nono | | |
| None | None | | |
| Actions required: | | | |
| Survey assessment: poor | ment of mentor group at IUNG-PIB. ment: poor Remarks: none | | |
| When: 30th month | Who: Scientific Board | | |
| 29. VALUE OF MOBILITY | | | |
| | ue of geographical, intersectorial, inter- and trans- | | |
| | lity between the public and private sector as an | | |
| | ge and professional development at any stage of a | | |
| | d build such options into the specific career | | |
| | vledge any mobility experience within their career | | |
| , , , | that the necessary administrative instruments be | | |
| | s and social security provisions, in accordance with | | |
| national legislation. | | | |
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices | | |
| implementation of this principle) | | | |
| Labour Law | • The possibility for researchers, research | | |
| | specialists, and doctoral students to apply for | | |
| | delegation in case of temporal leaves such as | | |
| | training leaves (up to 1-2 months), unpaid | | |
| | leaves in the case of longer trips, and short | | |
| | training trips; | | |
| | • Funding within EU projects: (Proficiency), | | |
| · · · | NCRD (SIMS), NSC. | | |
| Actions required: | | | |
| (T21) Procedures for internship, training, and post- | | | |
| Survey assessment: poor | Remarks: none | | |
| When: 9th month 30. ACCESS TO CAREER ADVICE | Who: the lawyer of IUNG-PIB | | |
| | ar advice and ich placement assistance, either in | | |
| Employers and/or funders should ensure that career advice and job placement assistance, either in the institutions concerned, or through collaboration with other structures, is offered to researchers | | | |
| · · · · · · | | | |
| at all stages of their careers, regardless of their contractual situation.Relevant legislation (permitting or impeding theExisting Institutional rules and/or practices | | | |
| implementation of this principle) | Existing institutional fulles and/or practices | | |
| None | None | | |
| Actions required: | | | |
| (T20) Appointment of mentor group at IUNG-PIB. | | | |
| Survey assessment: poor | Remarks: none | | |
| When: 30th month | Who: Scientific Board | | |
| 31. INTELLECTUAL PROPERTY RIGHTS | | | |
| | archers at all career stages reap the benefits of the | | |
| • • | ugh legal protection and, in particular, through | | |
| | Rights, including copyrights. Policies and practices | | |
| | and/or, where applicable, to their employers or | | |

other parties, including external commercial or industrial organisations, as possibly provided for

| under specific collaboration agreements or other t | | | |
|--|---|--|--|
| Relevant legislation (normitting or impeding the | | | |
| Relevant legislation (permitting or impeding the implementation of this principle) | Existing institutional fules and/or practices | | |
| Act on principles of financing science; | Regulations for the management of copyright | | |
| | and related rights, industrial property rights and | | |
| Copyright and related rights; Industrial property rights. | the commercialization of the results of research | | |
| Industrial property rights. | and development works. | | |
| Actions required: | | | |
| Actions required: | to their types, and the needbility of their transfer | | |
| (T4): Training for staff on intellectual property righ | is, their types, and the possibility of their transfer | | |
| and commercialization (conducted by a lawyer). | Remarks: none | | |
| Survey assessment: poor | | | |
| When: 12th month | Who: the Directorate of IUNG-PIB | | |
| develop strategies, practices and procedures to pr | rch. Employers and/or funders should therefore | | |
| be recognised and listed and/or quoted, in the compapers, patents, etc, or to publish their own resear | work conditions so that they can enjoy the right to next of their actual contributions, as co-authors of ch results independently from their supervisor(s). | | |
| be recognised and listed and/or quoted, in the con | work conditions so that they can enjoy the right to next of their actual contributions, as co-authors of | | |

Actions required: none

| Survey assessment: average | Remarks: none |
|----------------------------|---------------------|
| When: does not apply | Who: does not apply |

performance.

33. TEACHING

Teaching is an essential means for the structuring and dissemination of knowledge and should therefore be considered a valuable option within the researchers' career paths. However, teaching responsibilities should not be excessive and should not prevent researchers, particularly at the beginning of their careers, from carrying out their research activities. Employers and/or funders should ensure that teaching duties are adequately remunerated and taken into account in the evaluation/appraisal systems, and that time devoted by senior members of staff to the training of early stage researchers should be counted as part of their teaching commitment. Suitable training should be provided for teaching and coaching activities as part of the professional development of researchers.

| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices |
|--|---|
| implementation of this principle) | |

| Actions required: (T24) Development of new regulations for the Scie legislative changes. Survey assessment: poor When: 6th month | Departments + representatives of junior researchers). ntific Board of IUNG-PIB with regard to the Remarks: none Who: Scientific Board | | |
|--|---|--|--|
| (T24) Development of new regulations for the Scie legislative changes. | researchers). ntific Board of IUNG-PIB with regard to the | | |
| (T24) Development of new regulations for the Scie | researchers). | | |
| • | researchers). | | |
| Actions required. | | | |
| | | | |
| | | | |
| | • The Programme Council (Heads of the | | |
| | Functioning of the Social Labour Inspector; The Discourse Coursel, (Heads of the | | |
| • The Labour Code . | Activity of the Trade Union; | | |
| Institutes (Journal of Laws 2015 item 1095); | opinion or consent of the Scientific Council; | | |
| The Act of 30 April 2010 on Research Institutes (Journal of Louis 2015 item 1005) | The Statute- many decisions require an aninian on concert of the Scientific Council | | |
| implementation of this principle) | The Statute many desitions in | | |
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices | | |
| and collective interests as professionals and to acti | | | |
| | vork, so as to protect and promote their individual | | |
| | relevant information, consultation and decision- | | |
| | d recognize it as wholly legitimate, and indeed | | |
| 35. PARTICIPATION IN DECISION-MAKING BODIES | | | |
| When: 9th month | Who: the lawyer of IUNG-PIB | | |
| Survey assessment: poor | Remarks: none | | |
| institution of a disciplinary prosecutor by the Scien | | | |
| (T23) Development of the regulations for complain | | | |
| Actions required: | | | |
| art. 51-58. | | | |
| Institutes (Journal of Laws 2015 item 1095), | | | |
| • The Act of 30 April 2010 on Research | • Functioning of the Social Labour Inspector. | | |
| The Labour Law; | Activity of the Trade Union; | | |
| implementation of this principle) | | | |
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices | | |
| quality of the working environment. | | | |
| | nt within the institution and improving the overall | | |
| - | ork-related conflicts, disputes and grievances, with | | |
| | procedures should provide all research staff with | | |
| | s, including those concerning conflicts between | | |
| | ne form of an impartial (ombudsman-type) person | | |
| | establish, in compliance with national rules and | | |
| 34. COMPLAINS/ APPEALS | | | |
| | | | |
| When: 6th month | have the obligation to run teach didactics. Who: Head of PhD studies | | |
| Survey assessment: poor | Remarks: The employees of the Institute do not | | |
| (T22) Seminars prepared by PhD students . | | | |
| Actions required: | | | |
| 65, item 595 with further amendments). | | | |
| Arts of 14 March 2003 (Journal of Laws no. | | | |
| Title and Degrees and Title in the scope of | | | |
| • The Act on Scientific Degrees and Scientific | | | |
| - art. 44-46; | | | |
| Institutes (Journal of Laws of 2015 item 1095) | Regulations for doctoral proceedings. | | |
| • The Act of 30 April 2010 on Research | - | | |
| | Regulations for PhD studies at IUNG-PIB; | | |

36. RELATION WITH SUPERVISORS

Researchers in their training phase should establish a structured and regular relationship with their supervisor(s) and faculty/departmental representative(s) so as to take full advantage of their relationship with them. This includes keeping records of all work progress and research findings, obtaining feedback by means of reports and seminars, applying such feedback and working in accordance with agreed schedules, milestones, deliverables and/or research outputs.

| accordance with agreed schedules, milestones, del | iverables and/or research outputs. | | |
|--|---|--|--|
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices | | |
| implementation of this principle) | | | |
| • The Act of 30 April 2010 on Research | Regulations for PhD studies; | | |
| Institutes (Journal of Laws 2015 item 1095); | Regulations for professional advancement. | | |
| • The Act on Scientific Degrees and Scientific | | | |
| Title and Degrees and Title in the scope of | | | |
| Arts of 14 March 2003 (Journal of Laws no. | | | |
| 65, item 595). | | | |
| Actions required: | | | |
| (T25) The development/implementation of good p | ractice concerning the relations with the | | |
| supervisor and promoter of PhD thesis. | | | |
| Survey assessment: poor | Remarks: none | | |
| When: 6th month | Who: Head of PhD studies | | |
| | | | |
| | | | |
| 37. SUPERVISION AND MANAGERIAL DUTIES | | | |
| Senior researchers should devote particular atte | ention to their multi-faceted role as supervisors | | |
| • | • | | |
| mentors, career advisors, leaders, project coordin | hators, managers or science communicators. The | | |
| should perform these tasks to the highest profe | essional standards. With regard to their role a | | |
| | - | | |
| supervisors or mentors of researchers, senior researchers | archers should build up a constructive and positive | | |
| relationship with the early-stage researchers, in o | · · · | | |
| knowledge and for the further successful developm | | | |
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices | | |
| implementation of this principle) | | | |
| • The Act of 30 April 2010 on Research | Regulations of PhD studies; | | |
| Institutes (Journal of Laws 2015 item 1095); | Regulations for professional advancement. | | |
| • The Act on Scientific Degrees and Scientific | G | | |
| Title and Degrees and Title in the scope of | | | |
| Arts of 14 March 2003 (Journal of Laws no. | | | |
| 65, item 595) with further amendments | | | |
| (Journal of Laws of 2014, item 1852, and of | | | |
| 2015, item 249); | | | |
| • Regulation of the Ministry of Science and | | | |
| Higher Education of 30 October 2015 on the | | | |
| | | | |
| detailed mode and conditions of conducting | | | |
| activities in PhD proceedings, in habilitation | | | |
| • | | | |
| activities in PhD proceedings, in habilitation | | | |
| activities in PhD proceedings, in habilitation proceedings, and the procedure for the | | | |
| activities in PhD proceedings, in habilitation proceedings, and the procedure for the conferment of the professor title (Journal of Laws z 2015, item 1842). | | | |
| activities in PhD proceedings, in habilitation proceedings, and the procedure for the conferment of the professor title (Journal of Laws z 2015, item 1842). Actions required: | ractice concerning the relations with the | | |
| activities in PhD proceedings, in habilitation proceedings, and the procedure for the conferment of the professor title (Journal of Laws z 2015, item 1842). | ractice concerning the relations with the | | |

 Survey assessment: poor
 Remarks: none

 When: 6th month
 Who: Head of PhD studies

 38. CONTINUING PROFESSIONAL DEVELOPMENT

| Researchers at all career stages should seek to continually improve themselves by regularly updating | | | | |
|--|--|--|--|--|
| and expanding their skills and competencies. This may be achieved by a variety of means including, | | | | |
| but not restricted to, formal training, workshops, conferences and e-learning. | | | | |
| Relevant legislation (permitting or impeding the Existing Institutional rules and/or practices | | | | |
| implementation of this principle) | | | | |
| The Labour Code art.103. | Participation in conferences and trainings, if | | | |
| | requested by an employee, depending on the | | | |
| | financial means. | | | |
| Actions required: | | | | |
| (T21) Procedures for internship, training, post-doc leaves. | | | | |
| Survey assessment: average | Remarks: none | | | |
| When: 9th month | Who: the lawyer of IUNG-PIB | | | |
| 39. ACCESS TO RESEARCH TRAINING AND CONTIN | | | | |
| | esearchers at any stage of their career, regardless | | | |
| | portunity for professional development and for | | | |
| | neasures for the continuing development of skills | | | |
| | ularly assessed for their accessibility, take up and | | | |
| effectiveness in improving competencies, skills and | | | | |
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices | | | |
| implementation of this principle) | | | | |
| None | The consent for a training considered individually | | | |
| | on request of an employee, with an indication of | | | |
| | the source of financing. | | | |
| Actions required: | | | | |
| (T21) Procedures for internship, training, post-doc | leaves. | | | |
| Survey assessment: poor | Remarks: none | | | |
| When: 9th month | Who: the lawyer of IUNG-PIB | | | |
| 40. SUPERVISION | | | | |
| Employers and/or funders should ensure that a | person is clearly identified to whom early-stage | | | |
| • • | heir professional duties, and should inform the | | | |
| • | d clearly define that the proposed supervisors are | | | |
| •••••• | the time, knowledge, experience, expertise and | | | |
| | rainee appropriate support and provide for the | | | |
| necessary progress and review procedures, as well as the necessary feedback mechanisms. | | | | |
| Relevant legislation (permitting or impeding the | Existing Institutional rules and/or practices | | | |
| implementation of this principle) | | | | |
| The Act of 30 April 2010 on Research Institutes | Regulations for PhD studies at IUNG-PIB, §10 | | | |
| (Journal of Laws 2015 item 1095). | point 2. "A scientific supervisor can be a | | | |
| | researcher, having at least the scientific title of | | | |
| | doctor habilitated within a given or related | | | |
| | scientific discipline, academically active with a | | | |
| | | | | |
| | confirmed scientific record from the last 5 years". | | | |
| Actions required: | | | | |
| Actions required: (T20) Appointment of mentor group at IUNG-PIB. | | | | |
| - | | | | |
| (T20) Appointment of mentor group at IUNG-PIB. | confirmed scientific record from the last 5 years". | | | |

5. ACTION PLAN

The reorganisation plan will be implemented over 4 years divided into two periods; short-term and medium-term actions will be implemented over the first 2 years, and long-term actions – over more than 2 years. The realization of individual tasks set out in the Action Plan will be delegated to particular persons. HR Strategy coordinator appointed by the Director of IUNG will be responsible for implementing the schedule and sending reports to the European Commission.

| Actions | No. | Responsibility |
|--|-----|---------------------------|
| Development of "Ethical and organizational rules of conducting research at IUNG-PIB" | Т1 | Scientific Board |
| Verification of PhD theses, monographies in anti-plagiarism system | T2 | Head of SO |
| Regular newsletter (every 2 weeks) on current possibilities of application by the scientific staff of IUNG-PIB | Т3 | Head of DRS |
| Training for staff on intellectual property rights, their types, and the possibility of their transfer and commercialization (conducted by a lawyer) | Т4 | Director |
| Establishment of an electronic document repository | T5 | Chief Accountant |
| Development of an internal database of projects (title, managers, source of funding, general description). | т6 | Head of DRS |
| Regulations for making backup copies, confidentiality and storage of data at IUNG-PIB | т7 | Head PULMAN |
| Hiring an innovation broker within the project | Т8 | Director |
| Development of public relations strategy for IUNG-PIB | Т9 | Director |
| The introduction of anti-discrimination procedures | T10 | The lawyer of IUNG-PIB |
| Changes in the evaluation of researchers and research specialists according to the legislative changes, in cooperation with the employees | T11 | Head of SO |
| Development of procedures for proper conduct in the Institute, including good habits and practices in mutual contact, indication of the behaviors that are considered by the employer as objectionable and specification of how to respond to the cases of psychological harassment or discrimination | T12 | The lawyer of IUNG-PIB |
| Placing professional development perspectives in the competition announcements | T13 | The lawyer of IUNG-PIB |
| Adjusting the Regulations of the Competition Committee to the requirements of the Charter and the Code | T14 | The lawyer of IUNG-PIB |
| Updating of the Regulations of the Competition Committee. "The guidance for personal and professional development for researchers" in the Intranet network and as an attachment to the job announcement. Strong and weak points of the candidates taking part in competitions | T15 | The lawyer of IUNG-PIB |
| Sharing "The guidance for personal and professional development for researchers" in the Intranet network and as an attachment to the job announcement | T16 | The lawyer of IUNG-PIB |
| Updating the existing principles of employment for an indefinite period, together with the Scientific Board and trade union organization. The update will involve researchers. In the case of auxiliary staff, the principles of the Labour Code shall apply. | T17 | Director |

| Actions | No. | Responsibility |
|--|-----|---------------------------|
| Introduction of the system of researcher remuneration dependent on their work performance, as indicated in the annual employee evaluation surveys | T18 | Director |
| Women included in each recruitment committee, maintaining a gender balance. | T19 | Director |
| Appointment of mentor group at IUNG-PIB | T20 | Scientific Board |
| Procedures for internship, training, post-doc leaves | T21 | The lawyer of IUNG-PIB |
| Seminars prepared by PhD students | T22 | Head of PhD studies |
| Development of the regulations for complaints and appeals. The establishment of the institution of a disciplinary prosecutor by the Scientific Board of IUNG-PIB | T23 | The lawyer of IUNG-PIB |
| Development of new regulations for the Scientific Board of IUNG-PIB with regard to the legislative changes | T24 | Scientific Board |
| The development/implementation of good practice concerning the relations with the supervisor and promoter of PhD thesis | T25 | Head of PhD studies |
| Audit of implementation HR Strategy of IUNG-PIB | T26 | Auditor |

Dyrektor prof.dr hab Wiesław Aleksander Oleszek prof.zw.

| | | | | | | | | | | | | | 1 | | | | | | | | | | | | | | |
|-------------------------|------------------------|-----------------------------------|------|----|----|----|----|----|----------|----|----------|-----|-----|-----|-----|-----|-----|-----|-----|------|-----|-----|-----|-----|-----|-----|-----|
| Actions (short term) | Responsibility | Charter's and Code's Principle | М1 | M2 | M3 | M4 | M5 | M6 | M7 | M8 | M9 | M10 | M11 | M12 | M13 | M14 | M15 | M16 | M17 | M18 | M19 | M20 | M21 | M22 | M23 | M24 | M25 |
| | | | 2016 | | | | | | <u> </u> | | <u> </u> | | 20 | 017 | | | | | | 2018 | | | | | | | |
| T1 | Scientific Board | 1,2 | | | | | | | | | | | | | | | | | | | | | | | | | |
| Т2 | Head of SO | 3 | | | | | | | | | | | | | | | | | | | | | | | | | |
| Т3 | Head of DRS | 4 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T4 | Director | 5, 31 | | | | | | | | | | | | | | | | | | | | | | | | | |
| Т5 | Chief Accountant | 6 | | | | | | | | | | | | | | | | | | | | | | | | | |
| Т6 | Head of DRS | 7 | | | | | | | | | | | | | | | | | | | | | | | | | |
| Τ7 | Head of PULMAN | 7 | | | | | | | | | | | | | | | | | | | | | | | | | |
| Т8 | Director | 8 | | | | | | | | | | | | | | | | | | | | | | | | | |
| Т9 | Director | 9 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T10 | The lawyer of IUNG-PIB | 10 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T11 | Head of SO | 11 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T12 | The lawyer of IUNG-PIB | 12 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T13 | The lawyer of IUNG-PIB | 13 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T14 | The lawyer of IUNG-PIB | 14 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T15 | The lawyer of IUNG-PIB | 15 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T16 | The lawyer of IUNG-PIB | 16 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T17 | Director | 25 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T18 | Director | 26 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T19 | Director | 27 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T20 | Scientific Board | 28, 30, 40 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T21 | The lawyer of IUNG-PIB | 29, 38, 39 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T22 | Head of PhD studies | 33 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T23 | The lawyer of IUNG-PIB | 34 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T24 | Scientific Board | 35 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T25 | Head of PhD studies | 37, 38 | | | | | | | | | | | | | | | | | | | | | | | | | |
| T26 | Auditor | | | | | | | | | | | | | | | | | | | | | | | | | | • |

| Actions (long term) | Responsibility | Charter's and Code's Principle | M26 | M27 | | M30 | | | мзз | | M35 | М37 | M38 | M39 | M40 | M41 | M42 | M43 | M44 | M45 | M46 | M47 | M48 | M49 |
|------------------------|------------------------|--------------------------------------|-----|-----|------|------|---|--|-----|-------|-----|------|-----|-----|-----|-----|-----|-----|------|-----|-----|-----|-----|-----|
| - | | | | | 20 | 2018 | | | | 9. ST | | 2019 | | | | | | | 2020 | | | | | |
| T1 | Scientific Board | 1,2 | Ŀ | | | | | | | | | | T | | | | | | | | | | | |
| T2 | Head of SO | 3 | | | | | | | | | | | | | | | | | | | | | | |
| Т3 | Head of DRS | 4 | | | | | | | | | | | | | | | | | - | | | | | |
| Т4 | Director | 5, 31 | | | | | | | | | | | | | | | | | | | | | | |
| T5 | Chief Accountant | 6 | | | | | | | | | | - 1 | | | | | | | | | | _ | | |
| Т6 | Head of DRS | 7 | | | | | | | | | | | 3 | | | | | | | | | | | |
| T7 | Head of PULMAN | 7 | | | | | | | | | | | | | | | | | | | | | | |
| Т8 | Director | 8 | | | | | | | | | | | | | | | | | | | - 1 | | | |
| Т9 | Director | 9 | | | | | | | | | | | | | | | | | | | | | | |
| T10 | The lawyer of IUNG-PIB | 10 | | | | | | | | | | | | | | | | | | | | | | |
| T11 | Head of SO | 11 | | 1 | | | | | | | | | | | | | | | | | | | | |
| T12 | The lawyer of IUNG-PIB | 12 | | | | | | | | | | | | | | | | | | | | | | |
| T13 | The lawyer of IUNG-PIB | 13 | | | | | - | | | | | | | | | | | | | | | | | |
| T14 | The lawyer of IUNG-PIB | 14 | | | | | | | | | | | | | | | | | | | | | | |
| T15 | The lawyer of IUNG-PIB | 15 | | | | | | | | | | | | | | | | | | | | | | |
| T16 | The lawyer of IUNG-PIB | 16 | | | | | | | | | | | | | | | | | | | | | | |
| T17 | Director | 25 | | | | | | | | | | | | | | | | | | | | | | |
| T18 | Director | 26 | | | ~ | | | | | | | | | | | | | | | | | | | |
| T19 | Director | 27 | | | | | | | | | | | | | | | | | | | | | | |
| T20 | Scientific Board | 28, 30, 40 | | | | | | | | | | | | | | | | | | | | | | |
| T21 | The lawyer of IUNG-PIB | 29, 38, 39 | | | | | | | | | | | | | | | | | | | | | | |
| T22 | Head of PhD studies | 33 | | | | | | | | | | | | | | | | | | | | | | |
| T23 | The lawyer of IUNG-PIB | 34 | | | | | | | | | | | | | | | | | | | | | | |
| T24 | Scientific Board | 35 | | | | | | | | | | | | | | | | | | | | | | |
| T25 | Head of PhD studies | 37, 38 | | | | | | | | | | | | | | | | | | | | | | |
| T26 | Auditor | | | | | | | | | | | | | | | | | | | | | | | + |

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